

The background features a dynamic, abstract design with sweeping, luminous streaks in shades of green and blue. These streaks create a sense of motion and depth, with some areas appearing brighter and more intense than others. The overall effect is a modern, high-tech aesthetic.

# **Disadvantaged Business Enterprise Program Outreach Meetings**



# **Who is this meeting for?**

- **Minority contractor groups**
- **Women contractor groups**
- **General contractor groups**
- **Community organizations**
- **Anyone who would like to provide input regarding TxDOT's DBE Program ...**



# **What is the purpose of this meeting**

- **Provide information about TxDOT's DBE Program**
- **Discuss requirements of the DBE Program**
- **Provide information on TxDOT's Supportive Services Programs**
- **Discuss DBE Goal and Methodology**
- **Discuss Opportunities to do business with TxDOT**
- **Get input regarding challenges and concerns regarding the DBE Program**



# What is the DBE program

- The U.S. Department of Transportation's DBE (Disadvantaged Business Enterprise) program provides a vehicle for increasing the participation of women and minorities in state and local procurement.
- Federal Regulations require state and local transportation agencies that receive DOT financial assistance to establish a DBE Program and goals for the participation of DBEs.
- State and local transportation agencies are required to establish annual DBE goals and review contracts throughout the year to establish contract-specific DBE subcontracting goals.



# Who participates in the DBE program



- **Highway - TxDOT**
- **Aviation - Dallas/Fort Worth Airport, Dallas Love Field, Houston Bush International Airport, Houston Hobby, and all other major airports in Texas**
- **Transit - Houston Metro, Dallas Area Rapid Transit (DART), Austin Capital Metro, San Antonio VIA, etc.**





# TEXAS DEPARTMENT OF TRANSPORTATION

## 3 Major Program Areas

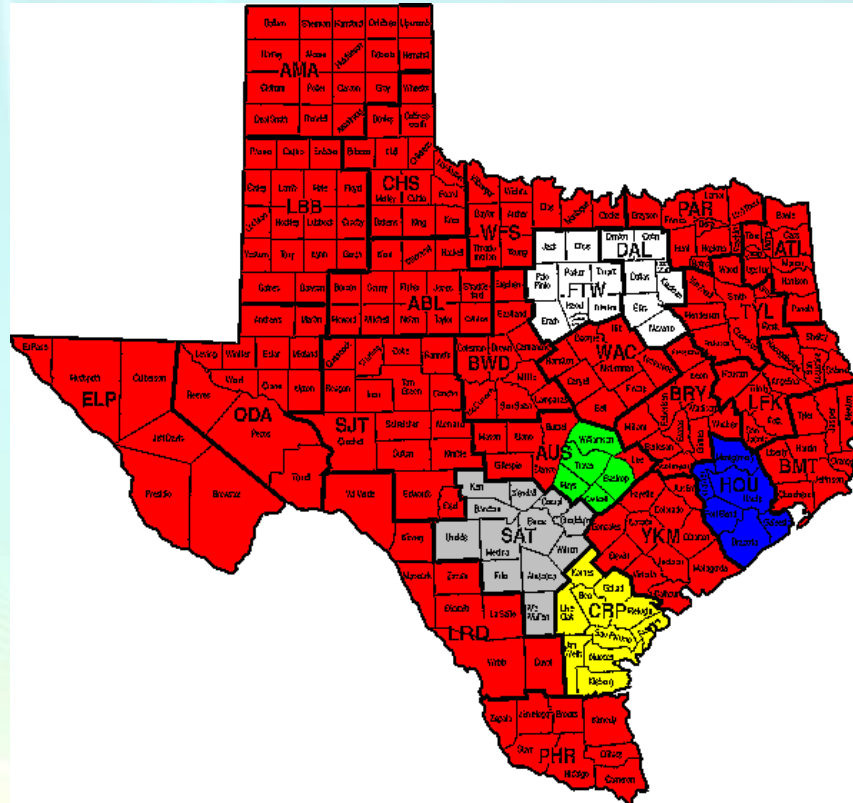
**Minority/Women/Small/Disabled Veterans Businesses**

- **Disadvantage Business Enterprise (DBE)**
- **Historically Underutilized Business (HUB)**
- **Small Business Enterprise (SBE)**

# **Components of the Disadvantaged Business Enterprise (DBE) Program**

- **Certification**
- **Compliance**
- **Goal Setting**
- **Reporting**
- **Supportive Services**

# DBE Certification - TUCP



City of Houston

City of Austin-Hays, Travis, Williamson, Caldwell, Bastrop

Corpus Christi Regional Transportation Authority

North Central Texas Regional Certification Agency

South Central Texas Regional Certification Agency

Texas Department of Transportation

(HOUSTON)

(AUSTIN)

(CCRTA)

(NCTRCA)

(SCTRCA)

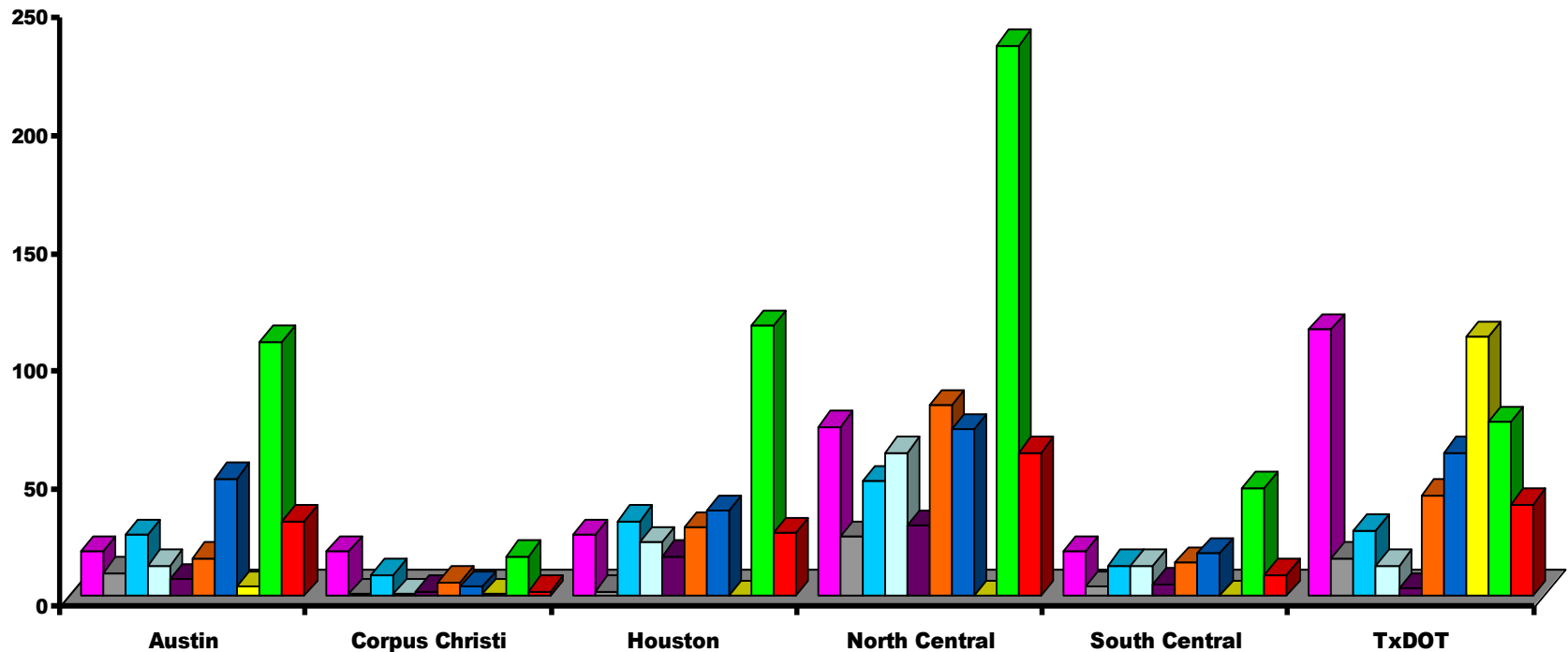
(TXDOT)



# Certification Requirements

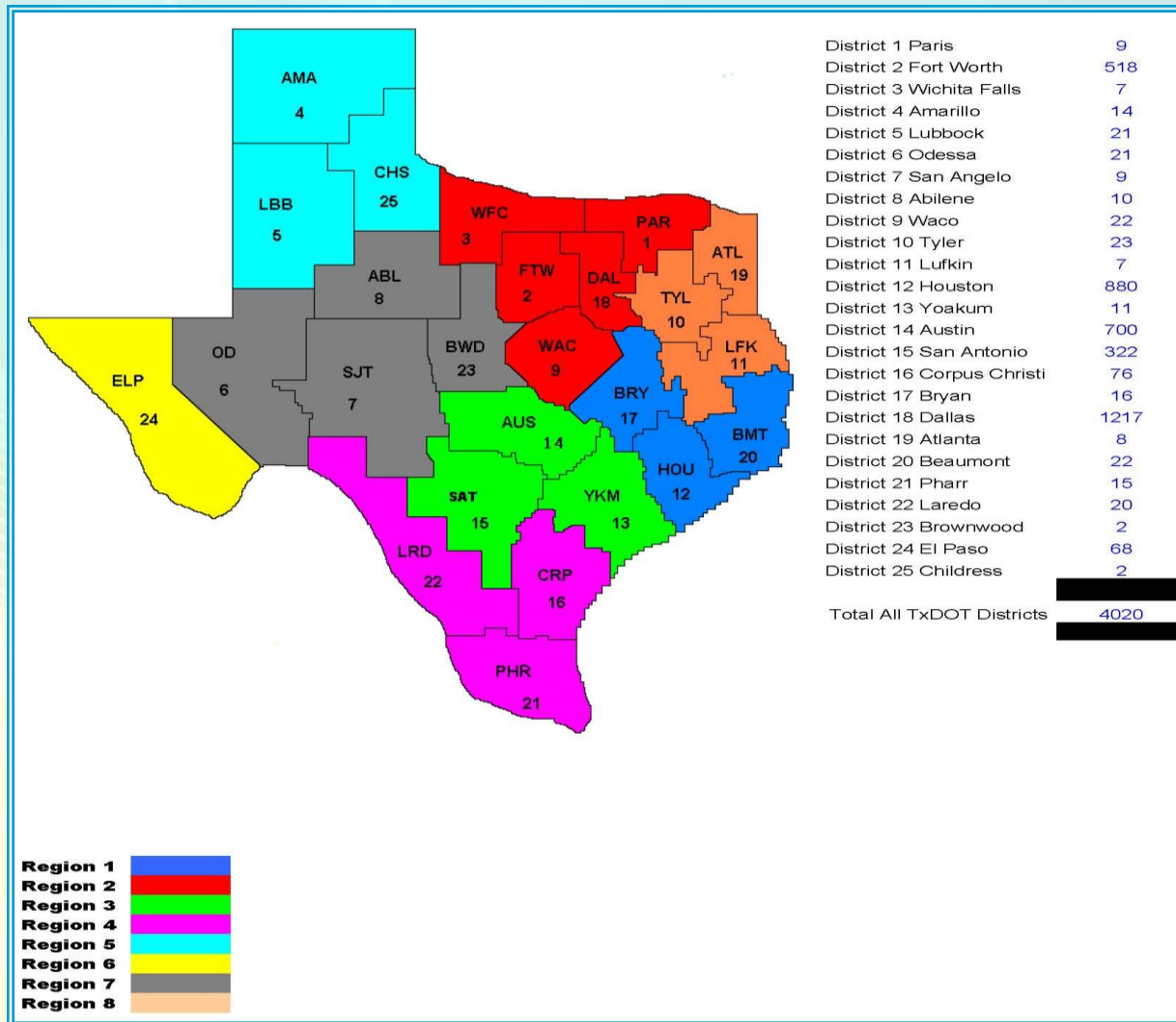
- 51 percent owned, managed and controlled by an economically and socially disadvantaged individual
- Must be U.S. citizen or Legally Admitted Resident
- Contributions, ownership, and daily management control clearly documented
- Presumed Groups: Asian-American; African-American; Native American; Hispanic; Women
- The primary economically disadvantaged owner(s) must fill out a personal net worth statement and cannot exceed \$1.32 million
- Firm must be organized as a for-profit business
- On-site visit required

# DBEs CERTIFIED BY HEAVY HIGHWAY CONSTRUCTION NAICS CATEGORIES



- |  |   |
|--|---|
| ■ 237310 - Asphalt & Seal Coat                       | ■ 237990 - Rest areas                           |
| ■ 238110 - Concrete Paving                           | ■ 238210 - Illumination                         |
| ■ 238220 - Painting                                  | ■ 238910 - Earthwork, Base, Sub Base & Clearing |
| ■ 238990 - Material Suppliers                        | ■ 484000 - Hauling, Base Material, ETC.         |
| ■ 541310 - Professional & Engineering Services (ALL) | ■ 561730 - Landscaping, Sodding, ETC.           |

# Overview of DBE Availability by Districts



# Compliance

- **TxDOT monitors DBE participation on all prime and sub contracts**
- **Contractors identify DBE subcontractors that they are intending to use to meet DBE contract goal**
- **Contractors submit monthly reports on DBE participation**
- **TxDOT staff verify that DBE subcontracts are in compliance with federal program requirements**
- **TxDOT identifies any corrective actions for compliance issues**

# **DBE GOAL CALCULATION**

**It's a Two Step Process!**

**1**

- **Step 1 - Determine the base figure for the relative availability of DBEs. Availability refers to ready, willing and able (RWA) firms.**

**2**

- **Step 2 - Examine available evidence to determine adjusting base figure.**

**This Public Consultation Meeting serves to identify evidence not immediately known/available to TxDOT.**



# **Goal Methodology - RC/RN**

- ▶ **The 2 Step process identifies the Overall DBE Goal. Further analysis determines how much of the goal can be projected to be met through Race-Neutral means.**
- ▶ **The portion of the goal that cannot be met by race neutral participation is met through Race-Conscious means. The Race-Conscious means are CONTRACT GOALS.**



# TxDOT DBE Accomplishments Overview

Fiscal Year	Goal	Accomplishment
2006	12.54%	12.74%
2007	12.12%	11.56%
2008	12.12%	14.71%
2009	11.00%	12.17%
2010	11.62%	10.85%

# **Recommended DBE Goal**

Based on the information and evidence currently available, TxDOT is recommending a DBE Goal of **11.7% (Estimate)**

**WHAT WAS CONSIDERED?** Relative Availability and Adjustments to the goal.

- In determining the relative availability TxDOT utilized:
  - 1.) Modified Bidders List
  - 2.) Census/DBE Directory information
  - 3.) State Disparity Study
  
- In determining any adjustments TxDOT considered:
  - 1.) Available Data
  - 2.) Past Participation
  - 3.) Public Consultation

For information or a copy of the State of Texas Disparity Study contact the State of Texas Comptroller's website: [www.window.state.tx.us/procurement/prog/hub/disparity/](http://www.window.state.tx.us/procurement/prog/hub/disparity/)

# **TxDOT's Supportive Services** **Programs**

- **Technical Assistance Program (TAP)**
- **Learning Information Network Collaboration (LINC)**
- **Small Business Briefings**
- **Specialized Workshops**
- **Business Webinars**
- **Texas Business Opportunities & Development Program (TBOD)**
- **One On One Business Appointment Program**
- **TxDOT\_BidOpps**

# TxDOT Small/Minority Business Website Resources

Home | Contact Us | Site Map | Español

**Texas Department of Transportation**  
*Providing safe, effective and efficient movement of people and goods.*

Business with TxDOT | Careers | Drivers & Vehicles | Local Information | News | Projects | Public Involvement | Safety | Travel | TxDOT Library | About Us

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Districts, Divisions, Offices

**Business with TxDOT**

**Doing Business**

**Small / Minority Business**

Program Overview

Disadvantaged Business Enterprise

Historically Underutilized Business

Small Business Enterprise

Supportive Services

Aviation

Rail

Finance

Road Construction

Other Upcoming Projects

Contractors/Consultants

Motor Carriers

Motor Vehicle Dealers

Lienholders

Public-Private Partnerships

Government

Conferences & Meetings

Training & Development

**Page Options**

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## Small / Minority Business Opportunities Program

### Disadvantaged Business Enterprise

- Program Information
- Application
- Forms
- Directory
- Needs Assessment
- Memorandum of Understanding
- Texas Unified Certification Program (TUCP)
- 49 CFR Part 26
- Special Provision 000-1966
- Special Provision 009-009
- FHWA 1273

### Small Business Enterprise

- Program Information
- Application
- Forms
- Directory
- Texas Administrative Code §9.55

### Other Resources

- Doing Business
- Bid Opening Information
- Business Development Networking Guide
- CDA Projects
- Electronic State Business Daily (ESBD)
- Roadlines Newsletter
- Statewide Resources Guide
- U.S. Small Business Administration (SBA) Size Standards

### Historically Underutilized Business

- Program Information
- Eligibility
- Application
- Directory
- Subcontracting Plan
- Subcontracting Plan (HSP) Forms
- Texas Statutes Government Code, Chapter 2161
- Texas Administrative Code §20.14

### Supportive Services

- Overview
- Business Appointments
- Economic Opportunity Forums (EOFs)
- Small Business Briefings
- Small Business LINC Mentor-Protégé Program Application
- Technical Assistance Program (TAP)
- Webinars

Website URL/Link

[http://www.txdot.gov/business/business\\_outreach/default.htm](http://www.txdot.gov/business/business_outreach/default.htm)



# What we want!



**! To Get Your Input**

**! To Obtain Information From You Regarding:**

- availability of disadvantaged and non-disadvantaged businesses
- the effects of discrimination on contract opportunities
- TxDOT efforts to provide information and opportunities to DBEs

# DBE Program Input Survey

THANK  
YOU

Thank You

THANK YOU

Please take online survey at:

<http://www.surveymonkey.com/s/5JGB8PD>

By: August 1, 2011

Gracias

Questions: Contact

Email: [eli.lopez@txdot.gov](mailto:eli.lopez@txdot.gov)

Phone: 512/486-5511

Fax: 512/486-5519

Merci

Obrigado!

Vielen  
Dank

धन्यवाद